

Request for Proposals

BUILDING BETTER HOMES TOWNS AND CITIES SCIENCE CHALLENGE – KĀINGA TAHI KĀINGA RUA

Key Information Summary

- In recognition of the specific challenges and opportunities faced by Māori the Building Better Homes, Towns and Cities (BBHTC) National Science Challenge (NSC) is running a Contestable Round to deliver on the strategic research area - Kāinga Tahī Kāinga Rua.
- The Kāinga Tahī Kāinga Rua Strategic Research Area recognises the dual and complex nature of our Maori identities and the many communities we construct our lives in. Simply all Maori by whakapapa originate from a specific place, rohe, marae, kāinga but are more likely now to live at their Kāinga Rua in a city. Many Maori may consider their Kāinga Tahī being the city now and their Kāinga Rua their marae.
- This contestable round seeks to address the following high-level research question:

How do we collaboratively finance, design and build developments, with buy-in from multiple stakeholders, in ways that overcome discriminatory policy, legislative barriers and actively support Māori aspirations and needs for long term affordable, healthy housing.

- The BBHTC NSC will run a three-step application process with; 1) a co-creation hui scheduled for Tuesday 18 April 10-3pm, 2) Expression of Interests (EOI) due (noon) 5 May 2017 and 3) full proposals due (noon) 2 June 2017.
- The investment process is targeted towards building and co-creating multi-disciplinary and multi-organisational team(s) that may have previously not worked together. Teams and research project areas will be established through a co-creation hui using the Open Space process. This will be run by the Challenge on Tuesday 18 April 10-3pm. Both researchers and stakeholders will be invited to

attend the co-creation hui. Research ideas and partnership opportunities aligned to the Kāinga Tahī Kāinga Rua Strategic Research Area will be presented and workshopped. Māori within the planning, building and housing industry economic and finance areas working together is a key driver in the establishment of partnerships and research team(s) for this investment.

For the purposes of this investment:

Co-creation refers to participating in an Open Space process that allows for ideas and partnerships to be further developed. Open Space has been identified as the method for the co-creation hui and the start of the investment process for Kainga Tahī, Kanga Rua. Open Space is particularly designed to work with the following principles:

- **Complexity** – when the question to be solved is bigger than any one person, group or area of expertise will be able to fully address.
- **Diversity** – when successful resolution of the issue or question necessarily must include input and action from a wide variety of different kinds of stakeholders and/or with a wide diversity of interests.
- **Conflict** – real or potential, when there's real passion in the situation, meaning people care enough to fight for or about something.
- **Urgency** – when the time for decisions and action was yesterday.

Māori housing includes Māori as a large proportion of renters and having low rates of home ownership.

- Organisations, researchers, individuals and others with an interest in contributing and participating in this investment are invited to **submit a registration of interest to participate** in the co-creation hui. Participation in the co-creation hui is a pre-requisite for submitting an EOI.
- Research can begin as soon as contracting is completed. All research will be expected to begin by 1 July 2017 – including ethics applications submitted (or agreed) and budget ready for expenditure – and must be completed by 30 June 2019, in line with the Challenge's funding.

- EOI proposals should be led by New Zealand-based research organisations (including the Principal Investigator) and be submitted by noon on 5 May 2017. Submitters of EOIs are requested by email these to NSCinfo@branz.co.nz. Late applications will not be accepted.
- Available funding: \$2.5m in total, of which \$50,000 will be ring-fenced to fund a Māori graduate researcher.
- A minimum of one and a maximum of three projects will be funded through this investment.
- The total portfolio of the research investment will include research that builds financial and planning solutions that contribute to addressing Māori housing needs.
- All funded projects must relate to the Challenge's Vision, Mission and Objective (see www.buildingbetter.nz) and the strategic research signals outlined in this document
- Regular written progress reports will be expected from the funded project(s). These will be agreed during the contracting process.
- Final funding decisions will be approved by the BBHTC Governance Group

Co-funding:

- Co-funding is not a requirement; however it is encouraged as it demonstrates strong connections with end-users.
- Research teams are encouraged to include co-funders in research design from the early stages of the proposed project. Co-funders may provide in-kind funding.
 - In-kind funding in this instance could include the use of services and/or facilities, professional expertise (for instance, through staff time), provision of or access to equipment.
- Co-funding is required for any capital items as National Science Challenge funding may not be used for capital items

Rationale and Background

- The Kāinga Tahī Kāinga Rua Strategic Research Area recognises the dual and complex nature of Māori identities and the many communities we construct our lives in. Simply all Māori by whakapapa originate from a specific place, rohe, marae, kāinga but are more likely now to live at their Kāinga Rua in a city. Many Māori may consider their Kāinga Tahī being the city now and their Kāinga Rua their marae.
- Only a small percentage of land in Aotearoa is Māori owned (less than 6%). More than 95% of Māori live away from their ancestral areas mostly within urban contexts. The largest majority-24.3% of New Zealand's Māori currently live in the Auckland Region where they are more likely than any other ethnic group, other than Pacifica people, to live in rental accommodation or substandard or overcrowded housing.
- Displacement, caused by colonial settlement, has created a widespread urban based Māori diaspora whose members face limited options relating to how they can access affordable and healthy housing to meet the needs of their communities. Little research has been conducted into identifying the needs of this community. Iwi and Māori investors have responded to the legislative, financial and planning challenges with emerging innovative developments.
- Engagement with a range of stakeholders for this challenge has revealed an array of traditional and contemporary interpretations of what Māori housing is and its role in promoting wellbeing among diverse Māori communities. Current Māori housing research has focused largely on 'ahi kaa' communities – whānau, hapū, and iwi who are building on their own land. This land may be rural or urban. However, several Māori groups and organisations have also expressed interest, or are building housing developments based on similar concepts.
- We know that Māori communities seeking implementable housing solutions will benefit from better understanding responses that have worked elsewhere in Aotearoa and internationally. In this investment, Māori housing includes delivering improved housing solutions for the significant proportion of Māori who are renters. Furthermore, Māori housing is more than just buildings and embodies and reflects how Māori communities relate to the whenua, their culture

and language, and how those indelible relationships can help shape a community, which in te ao Māori is the foundation of a people's wellbeing.

Kāinga Tahī Kāinga Rua – Strategic Research Signals

The following research signals need to be addressed in both the EOI and the Full Proposal and indicate the strategic priorities of this investment.

- Prioritise urban outcomes, particularly in the wider Auckland region.
- Secondary priority is to reconnect whānau who have been dislocated from ancestral lands in urban and rural areas.
- Multi-disciplinary and multi-organisational team(s) that includes statistical, design and financial modelling capacity
- Research that is novel, innovative and does not duplicate previous efforts.
- Experienced research leadership in the Māori research sector and demonstrated track record in the delivery of mātauranga Māori outcomes, end user engagement and uptake.
- Strong end user engagement with iwi/hapū/whānau, local councils, territorial authorities, central government, commercial partners and academia to ensure active pathways for uptake.
- Clear value proposition to the research project.
- Pathways for Māori research capability development.

Over-arching research question to be addressed through the investment

How do we collaboratively finance, design and build developments, with buy-in from multiple stakeholders, in ways that overcome discriminatory policy, legislative barriers and actively support Māori aspirations and needs for long term affordable, healthy housing.

Sub-research questions to be addressed through the investment

1. Build on and innovate historical, contemporary and evolving models of Māori housing.
2. Critique and provide innovative solutions for the financial, policy, planning, governance, and legal instruments currently available for Māori home buyers.

How do these instruments contribute or hinder innovative Māori housing development approaches?

3. Map the approaches, abilities, commitment, policies of Māori organisations (Iwi, PSGEs, fund managers, land trusts, businesses, Charitable Trusts) to Māori housing.

Assessment Criteria.

Proposals will be subject to a two-step assessment process by independent reviewers that will include colleagues from the Challenge's Governance Group, local members of the Independent Science Advisory Panel and the Science Leadership Team.

Both the EOI and the Full Proposals will be assessed according to the following criteria. Different weightings will be applied to the criteria at the EOI and Full Proposal stages to reflect the emphasis on co-creation and the building of new multi-disciplinary and multi-organisational teams.

Criteria

1. The potential impact of the proposal (clear benefit to NZ, strong pathway(s) to achieving impact)
2. Science stretch and innovation (methodologically robust, novel, transformational, multidisciplinary)
3. Stakeholder engagement and knowledge exchange (co-creation, co-development, wider stakeholder engagement)
4. Team excellence (experienced Māori research leader, multi-disciplinary, demonstrated track record in the delivery of mātauranga Māori outcomes, end user engagement and uptake)

Criteria Weightings

Criteria	Criteria weighting at Expression of Interest Phase	Criteria weighting at Full Proposal Phase
The potential impact of the proposal	30%	25%
Science stretch and innovation	20%	25%
Stakeholder engagement and knowledge exchange	20%	25%
Team excellence	30%	25%

Key dates and activities

Release of documents

- 21 March 2017 Request for Proposals for the Building Better Homes, Towns and Cities: Kāinga Tahī Kāinga Rua contestable round released.

Step 1 – Co-creation hui using ‘Open Space’ method

- 3 April 2017 Registration of Interest to participate in co-creation hui due
- 6 April 2017 NSC will confirm participants to attend co-creation hui
- Tuesday 18 April 2017 Auckland based co-creation Hui held 10-3pm
- Questions on RfP close 21 April 2017
- Open responses to questions posted on website 28 April 2017

Step 2 – EOI

- 5 May (noon) 2017 - EOI submitted
- 16 May 2017 – EOI outcomes communicated to project leads, successful EOI project leads will be invited to submit a full proposal.

Step 3 – Full proposals

- 2 June 2017 (noon) – full proposals submitted
- 19 June 2017 – Notification of outcomes and funding decisions announced.
- 26 June 2017 – Contract negotiation and signing complete.
- By 1 July 2017 – Funded proposal(s) to be initiated.

Contact Challenge Office for further information: NSCinfo@branz.co.nz